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Confidentiality	Internal

Mosaic FSI Supplier Assessment

Organisation under assessment:	Name of the organization
Product(s) or service(s):	Details of the specific offering from the organisation that is being reviewed
Date of Assessment:	When the assessment started
Assessor:	Who is carrying out the assessment
Assessor Comments:	Explain any relevant circumstances that may affect the assessment outcome

Organisation Assessment

Registered Name:	Official name e.g., name at Companies House, including type of organisation
Country of Registration:	What nationality is the organisation
When Formed:	When was it registered
Approximate Size:	Judge from website if necessary
Contract Terms:	Including length, renewal, and termination provisions
Applicable Law:	From contract
Certifications held:	e.g., ISO/IEC 27001, Privacy Shield, ISO9001
Information Security Policy Available?	On website or available on request?

Personal Data Compliance Assessment

Personal data held.	Describe the personal data that this supplier stores and/or processes on our behalf
Business process(es) involved	Name the business process(es) that require the use of this supplier to store or process personal data
In which country or countries are the data stored and/or processed?	Is the data guaranteed to remain in the stated country?
Is the data encrypted and if so, to what standards?	Is encryption used? Data centre protection
What access controls are in place?	How does the supplier control access to the personal data it holds on our behalf?
Does the supplier share our personal data with any third parties and if so, who?	Name third party's data are shared with, what data is involved and why. It may then be appropriate to assess these suppliers separately

Result of Assessment.

Assessment outcome:	Raise any cause for concern e.g., storage outside the NZ, lack of controls or inadequate information
Actions arising:	Describe what needs to be done to address any concerns and who will do the actions by when
Date of assessment completion:	Date (may be different to date of assessment)
Assessor Comments:	Any other relevant factors that should be considered